

Form PTO-1449		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. LA65134 (101-6 PCT US)		SERIAL NO. 10/552,917	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)				APPLICANTS Wolfgang Wehner et al.			
				FILING DATE October 13, 2005		GROUP ART UNIT Not Yet Assigned	
U.S. PATENT DOCUMENTS/U.S. PATENT PUBLICATION							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/PDM/		4,000,100	12/28/76	Baldyga			
/PDM/		4,590,233	05/20/86	Erwied et al.			
/PDM/		4,963,608	10/16/90	Kunieda et al.			
/PDM/		5,232,967	08/03/93	Worschech et al.			
/PDM/		5,859,100	01/12/99	Wehner et al.			
/PDM/		6,274,654	08/14/01	Wehner et al.			
/PDM/		2002/0019559	2/14/02	Brunner et al.			
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
/PDM/		WO 93/20135 ✓	10/14/93	PCT			
		CA 2,374,924 ✓	12/28/00	Canada			
		CA 2,376,742 ✓	12/28/00	Canada			
		DE 199 27 977 ✓	12/21/00	Germany (English Equiv. CA 2,376,742)			
		DE 199 27 978 ✓	12/21/00	Germany (English Equiv. CA 2,374,924)			
		DE 199 27 979 ✓	10/12/00	Germany			X
		DE 197 561 913 ✓	06/24/99	Germany (English Equiv. U.S. 2002/0019559)			
		DE 4031818 ✓	04/09/92	Germany			X
		DE 197 41 778 ✓	03/26/98	Germany (English Equiv. U.S. Patent No. 5,859,100)			
		DE 38 43 581 ✓	07/13/89	Germany (English Equiv. U.S. Patent No. 4,963,608)			
		EP 0 062 813 ✓	10/20/82	EPO (English Equiv. U.S. Patent No. 4,590,233)			
		EP 0 259 783 ✓	03/16/88	EPO (English Equiv. U.S. Patent No. 5,232,967)			
/PDM/		EP 0 967 245 ✓	12/29/99	EPO (English Equiv. U.S. Patent No. 6,274,654)			
EXAMINER /Peter Mulcahy/ (01/07/2008)							
DATE CONSIDERED							
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FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES      NO
OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
/PDM/		✓	Chemical Society Reviews, Volume 6, pp. 69-90 (1977)				
/PDM/		✓	Handbook of Polyvinyl Chloride Formulating, E.J. Wilson, J. Wiley & Sons, pp. 369-391, pp. 449-474, pp. 475-486, pp. 487-503, pp. 515-524, pp. 551-577, pp. 579-600, pp. 639-667 (1993).				
/PDM/		✓	Plastics Additives, G. Pritchard, Chapman & Hall, London, 1 <sup>st</sup> Ed., pp. 108-114, pp. 115-120, pp. 260-352 (1998).				
/PDM/		✓	PVC Technology, W.V. Titow 4 <sup>th</sup> Ed., Elsevier Publishers, Kapitel 6.10.2, pp. 171-173, Grp G; Kapitel 6.10.5, p. 174, Grp H; Kapitel 6.10.3, p. 173, Grp I; Kapitel 6.10.4, pp. 173-174, Grp J (1984).				
/PDM/		✓	Lutz et al., "Impact Modifiers for PVC; The History and Practice", J. Wiley & Sons, pp. 33-49 (1992).				
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		FILING DATE Concurrently	GROUP ART UNIT Not Yet Assigned

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/PDM/	2004/0054043	03/18/04	Friedrich et al.			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
/PDM/	CA 2435035 ✓	09/19/02	Canada				
/PDM/	WO 02/072684 ✓	09/19/02	PCT				
/PDM/	WO 02/48249 ✓	06/20/02	PCT				
/PDM/	WO 03/004558 ✓	01/16/03	PCT [English Abstract included]				X
/PDM/	DE 102 16 886 ✓	11/6/03	Germany				

## OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER <div style="text-align: center;">/Peter Mulcahy/ (01/07/2008)</div>	DATE CONSIDERED
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